



# RISK & COMPLIANCE DASHBOARD

TRANSACTION MONITORING

America | Asia | Europe | United Kingdom | Australasia

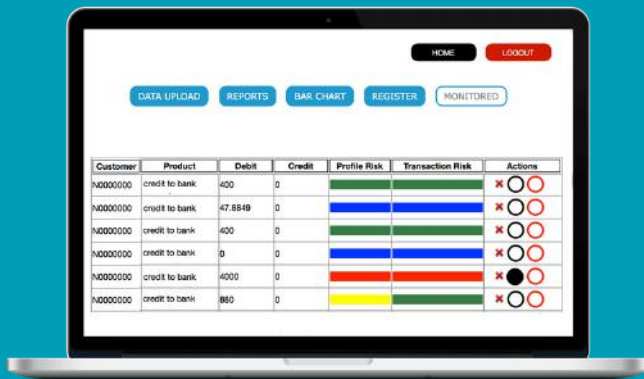
# ANALYSE

Following an Excel upload, dashboards automatically populate transaction data into a number of visual displays, allowing users to readily identify higher risk activity.

Drill down filters and a Case Management Register are available to aid ongoing analysis.



Data at Your  
Fingertips



# AUTOMATE

## STREAMLINE COMPLIANCE

As a truly intuitive system with automatic monitoring activity, AML360 allows compliance officers to develop their dashboard then hand the reigns to administrative staff for analysis and reporting.

Compliance Officers can login to the dashboard at any time to review admin activity.

Reports can be printed to PDF for senior management reporting.

# 360 DATA

The background of the slide shows a blurred office environment. In the foreground, several people are seated at a table, looking towards the right. In the background, a man with a beard and glasses is standing and presenting, holding a tablet. The overall scene suggests a professional meeting or training session.

AML360 gives your business complete visibility over your transaction data. Our dashboard provides numerous displays of risk activity.

**LEADING INDUSTRY EXPERTS**

[aml360.com](http://aml360.com)

Our industry experts ensure your business has all the necessary data readily available at your fingertips. We are represented by former senior managers at banking corporations, including an AML Adviser from a Central Bank. We know what businesses need and we know what regulators want. Our systems are designed to meet compliance easily and effectively.



All aspects can be configured to your specific needs. Interpret risk from snap-shot charts and tables. Use drill down filters for ongoing analysis. Create case management file notes and record determinations. Simple and Effective.





**COMPLIANCE IS  
MANDATORY, NOT A  
DISCRETION.**



AML360's transaction monitoring software enables senior managers to be kept fully informed through simple snap-shot visual displays. The case management feature provides transparent decision making.

**STREAMLINE COMPLIANCE - REDUCE COSTS**

[aml360.com](http://aml360.com)



# TRANSACTION MONITORING



HEAT MAPS AND TABLES

The screenshot shows the AML360 Monitoring Register with a table of transactions. The table has the following columns: Customer, Product, Debit, Credit, Profile Risk, Transaction Risk, and Actions. The data is as follows:

Customer	Product	Debit	Credit	Profile Risk	Transaction Risk	Actions
N0000000	credit to bank	400	0	Green	Green	X O
N0000000	credit to bank	47.8849	0	Green	Green	X O
N0000000	credit to bank	400	0	Green	Green	X O
N0000000	credit to bank	0	0	Green	Green	X O
N0000000	credit to bank	4000	0	Red	Red	X O
N0000000	credit to bank	880	0	Yellow	Green	X O

MONITORING REGISTER

The screenshot shows the AML360 Case Management interface with a 'Transaction Details' pop-up window. The details are as follows:

Customer ID: N0000704  
Profile Type: Transaction Monitoring  
Date: 12/22/2014  
Product: credit to bank (province)  
Debit: 7000  
Credit: 0  
Profile Risk: [Red Flag]  
Transaction Risk: [Red Flag]

NOTES:  
Customer's transaction had insufficient background to source of funds. Confirm source of funds then report to AMLCO.

Buttons: Edit, Save, Close

CASE MANAGEMENT

Through an Excel upload, your dashboard will instantly populate with risk data, summary transaction tables and heat maps to identify 'red flag' activity. Results are instant.

You can view transactions through a number of visual displays including Bar Chart, Heat Map, Data Reports or from a Risk Register. Drill down filters are available for ongoing analysis.

Use the Case Management feature to track and report actions and findings. Once a transaction is determined as a 'false positive', closing the case will change the red flag to 'green' status.



# PART OF SOMETHING BIGGER

AML360 focuses on reducing cost of anti-money laundering compliance without compromise to quality.



# PROFILING & CASE MANAGEMENT

## DASHBOARD SET-UP

Compliance officers have full control on setting their transaction monitoring rules. Any number of products can be entered, as well as customer transaction profiles. The drill down filters instantly return high and very high risk transactions, populating into a register with customer unique ID, product and date. This is intuitive software and available as plug and go.

## VISUAL CHARTS

Visual charts include heat map, bar chart and a risk color coded register. Each chart display includes drill down filters for further analysis. Data can be viewed across the entire customer base or against any single customer account. Charts have the option of printing onto PDF.

## AUDIT AND TRACK

The Monitor Register provides a case management function to enable investigator notes and file updates. The Register will display transactions as either open and under investigation 'red flag' or closed but remaining on a watch-list 'green flag'. Clicking on the file note will display historic actions.

# OUR APPROACH TO COMPLIANCE & RISK MANAGEMENT

Our software ensures streamlined functions by carrying out a single action to capture data, analyse, measure, record and report. In doing so, human resourcing commitments are significantly reduced - saving your business time and money.



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