



Your auditor and AML supervisor expect to see evidence of customer risk profiling and account activity monitoring. This requires a system capable of a work process flow and maintenance of record keeping.

Key Benefits

Easy to navigate dashboard that can be configured to individual business requirements

Smart technology to meet AML compliance obligations.

Plug and Play. No hardware installation requirements.

Eliminate paper.
Automate processes.
Reduce human resourcing commitment and compliance costs.

Key Features

- Easy execution of data inputs.
- Smart technology to meet AML compliance obligations.
- Instantly identify higher risk tasks.
- Snapshot view on open cases.

AML Compliance Officers can set priority levels and deadline dates for important compliance tasks. Responsible persons can be assigned and tracked through to completion.

An editable file note for each case entry records actions and determinations made. This is the type of record keeping your business should provide to auditors and AML supervisors.

RISK REGISTER

The risk register displays the priority level of each case. Clicking on a file icon will display the most recent update.

PROGRESS STATUS

Blue indicators highlight those cases that have not yet commenced. This allows your AML Compliance Officer to manage allocation of resources with efficiency.

RESPONSIBLE PERSONS

The manage user permissions provide different levels of responsibilities. You can assign employees that have editing rights but are unable to delete from the register. This assists to establish work process flows.

COLOUR CODING

At the time of entering a case to the register, you can select one of five different risk levels.

FILTERS

The filters will provide instant information on all cases remaining open, what cases are nearing deadline dates and how many cases are assigned to each person.

ONGOING DUE DILIGENCE

Recording actions taken and reporting on determinations is often identified as a weakness, especially in small and medium sized businesses. AML360's case management compliance tool ensures recording and reporting on priority compliance tasks is easy to executive.

Ongoing due diligence and case management can be used for any type of AML compliance requirement - whether administrative or a core AML compliance task.