

# RISK & COMPLIANCE DASHBOARD















PEPS & SANCTIONS SCREENING



AML360 in partnership with Dow Jones provides screening for Politically Exposed Persons and Global Sanction data.

Our screening solution includes a Review and Monitor Register from where email notifications can be sent and file notes maintained.

Monitor

Customer ID	Alert Type	Date of Search	Name Match Risk	Actions
<a href="#">Obama, Barack Hussein</a>	RCA	2017-07-20		✘ ● ● 
<a href="#">Obama, Barack Hussein</a>	RCA	2017-07-20		✘ ● ● 
<a href="#">Obama, Barack Hussein</a>	PEP	2017-07-17		✘ ● ● 
<a href="#">Gillard, Julia Eileen</a>	PEP	2017-07-05		✘ ○ ○ 
<a href="#">Trump, Donald John</a>	PEP	2017-06-08		✘ ● ● 
<a href="#">Bush, George Walker</a>	PEP	2017-05-10		✘ ● ● 
<a href="#">Peters, Winston Raymond</a>	PEP	2017-05-08		✘ ○ ○ 

### Screening Details

Customer ID: Bush, George Walker  
 Alert Type: PEP  
 Date Of Birth: 6/7/1946  
 Name Match Risk: ██  
 Date Of Search: 2017-05-10  
 NOTES:

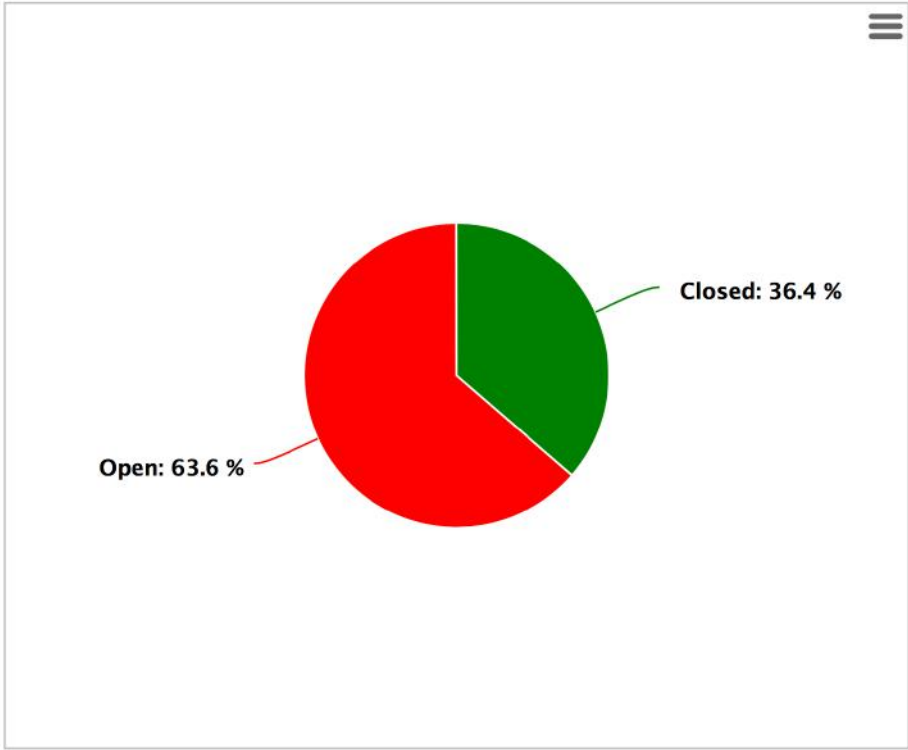
- Our customer requires frequent monitoring. Low risk PEP.
- Account activity monitored. No concerns.

[Edit](#) [Save](#)

All screening results are maintained and updated in a central data place.

Easily keep track of the alerts that need further investigating or reporting

#### ACTION STATUS



**Search Criteria used:**

Name bush George  
Content Set : Watchlist  
Search On : Person  
Search Parameters : Sanctions Lists: No  
ID Number Type : None  
ID Number : None  
Search Type : broad  
Region : All Regions  
Country Type : Citizenship USA Or Resident of USA Or Jurisdiction  
USA Citizenship USA Or Jurisdiction USA Or Resident  
of USA Resident of USA Or Jurisdiction USA  
Or Citizenship USA  
  
PEP Category : All PEP Categories  
Exclude ADSR : No  
Special Interest Categories : All Special Interest Categories  
Sanctions Lists : All Sanctions Lists  
Other Official Lists : All Other Official Lists  
Other Exclusion Lists : All Other Exclusion Lists  
The Search returned : 3 entries  
Date Of Search : 1:01.47PM Saturday 5th of August, 2017  
User Name : Compliance Dept

Summary results can  
be emailed to a  
consistent compliance  
address to confirm  
searches were  
undertaken.

We ensure record  
keeping is practical  
and meets regulatory  
requirements.

Customer ID	Date Of Birth	Alert Type	Name Match Risk	Actions
<a href="#">Bush, George Walker</a>	6/7/1946	PEP		
<a href="#">Arango Smith, Gustavo Adolfo</a>	15/6/1972	RCA		
<a href="#">Bariton Smith, Carlos</a>	N/A	RCA		

**Email Form** ✕

Please provide an email address to send this profile:  
For specifying multiple emails use ',' for at the end of email address:

\* Email Address

Subject

Message



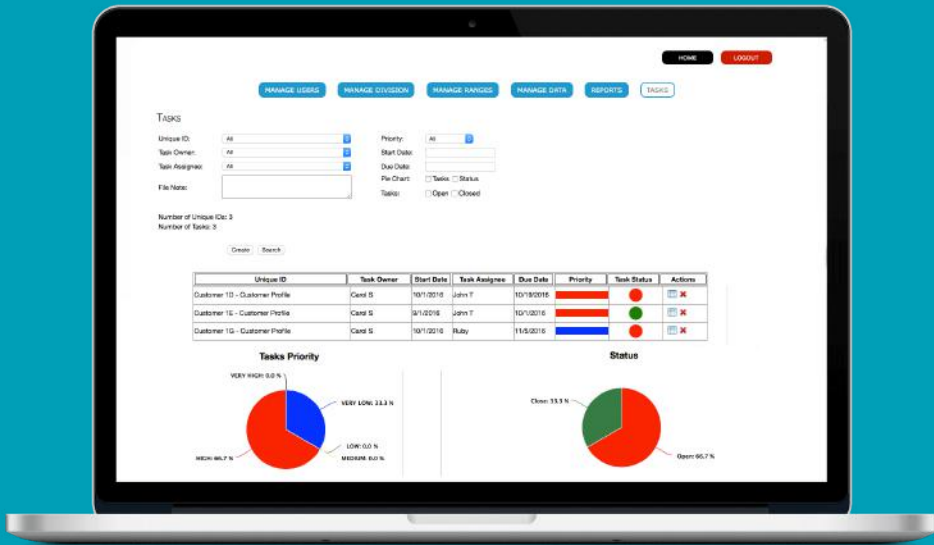


**DOW JONES**

The integrity of the Dow Jones data, integrated with AML360's dashboard provides the perfect compliance tool for Compliance Officers. Easily and accurately identify high-risk clients with detailed, up-to-date profiles on individuals and entities.



AML360 provides a seamless work flow for the full cycle of the customer screening process. From notifying senior managers to managing and monitoring true positives, our Dashboard is a practical and effective solution for all businesses.



## OFFICE LOCATIONS:

Singapore | London | Sydney | Auckland

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