



Firm-Wide Risk Assessment

No Downloads.
Just Login and Go!

REGULATORY TECHNOLOGY

By relying on regulatory technology known as *Compliance-as-a-Service*, businesses of all sizes can take advantage of AML360's digital compliance platform. Whether you are the Head of Risk at a tier 1 bank or an owner/operator of a small legal or accountancy firm, AML360 provides you the tools for measuring your AML/CFT risks.

1

LOG IN.



Risk-Based

For all businesses obligated under AML/CFT laws.

2

Select Data



Regulatory

Meet expectations of the regulatory risk based approach.

3

Click Calculate



Intuitive

Login, select data on screen, click Calculate. Your report is ready.

About AML360:

Over the past 5 years, AML360 has consistently ranked as a leading, innovative vendor, providing Software-as-a-Service. Focusing on anti-money laundering compliance, AML360 provides a single platform for managing every aspect of AML/CFT regulatory obligations. This includes client onboarding, client profiling, country risks, account monitoring and governance tools for management reporting.

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Reduce Human Resourcing Costs

Takes less than 60 minutes to complete. You receive a full risk report, heat maps and a guidance manual.

Easy Updates

When you need to update or change data, click the edit icon, make any changes, then click 'calculate'.

Nature, Size & Complexity

A key risk division includes the nature, size and complexity of your business and the types of vulnerabilities inherent that may attract money launderers or terrorist financiers. At least 16 separate key risk drivers are included in this analysis. You will receive an overall risk score, as well as a heat map for each risk driver to this vulnerability.

Customers & Institutions

Primary risks are measurement, as well as the types of financial institutions that your business deals with. You will receive an overall risk rating and a risk score, as well as a bar graph showing each risk rating for all risk drivers.

Products & Services

A total of at least 9 risk drivers are analysed. You will receive an overall risk rating and score for the vulnerabilities that your products and services present. You will also receive a heat map showing the risk rating of each driver.

Method of Delivery

You will receive a risk rating and risk score to indicate the vulnerability on the methods that your business distributes products and services and how those methods expose risks to money laundering and/or financing of terrorism. You will also receive a risk heat map for each risk driver contributing to this key risk.

Geography Risk

For this risk division, five risk drivers are measured. Risk vulnerabilities incorporate the Basel AML Index. You will receive an overall risk score for this division, as well as individual risk ratings for each driver.



Ongoing Maintenance

Keep up-to-date with risk status and track changes.

Add File Notes

This feature allows your business to include additional information about your business. This additional information enables a third party to better understand your business operations.

Risk Matrix

The risk matrix sets out the risk scores under each risk rating for the key risk divisions.

Aggregate Heat Map

This graph will display the risk rating of each primary risk division. It enables a snap shot visual display of the risk status of your business.

Aggregate Risk Score

You will receive an overall risk score to indicate the vulnerabilities and exposures that the key risk divisions collectively present to your business facilitating money laundering and financing of terrorism.

Aggregate Risk Gauge

The gauge positions the risk status to an exact point across the matrix.

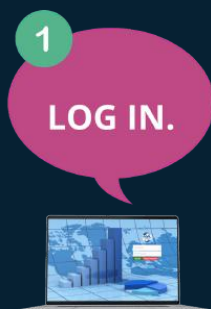
Download to PDF

When your risk report displays on screen, you will be able to download each heat map to a PDF.

Explanation of Risks

Your report will include information on why certain characteristics present greater risk to facilitating ML/FT. You will also receive prompts to guide you in the development of your policies, procedures and controls.

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Online Data Analytics

Your AML360 subscription includes an online register to access tools for data analytics and reporting.

Email Back-Ups

You will receive a permanent record of your risk assessment by entering the email of your choice. Your risk report is delivered through an attachment. You also have access to an online register.

Risk Guidance Manual

A comprehensive risk guidance manual is included with your report. This allows your business to fully understand the risk methodology and results.

Case Management

If your business risk assessment requires an action or task, use the case management feature to manage, monitor and track through to completion.

Version Maintenance

Any former versions of your assessment are left unchanged and remain filed in your online register with the recorded date of entry.



24/7 Access

Provided as a Cloud service, your business has 24/7 access to your risk reporting data.

Drill Down Filters

Drill down filters provide the ability to see data and information in more detail at the click of a mouse. Drill through allows users to analyze the same data through different reports, analyze it with different features and even display it through different visualisation methods. This greatly enhances your understanding of the data and of the reasons behind the findings.

Profile Risk Heat Map

The profile risk pie chart displays the overall risk that your business presents to ML/FT. As you update your business risk assessments, the pie chart will recalculate. This can be printed to PDF.

Management Reporting

Use filters for instant reporting.

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